PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## UTILITY **PATENT APPLICATION TRANSMITTAL**

Attorney Docket No.* First Inventor		STAN-261 Alan F. Schatzberg				

BLOOD-BRAIN BARRIER PERMEARII ITY

(Only for new nonprovisional applications under 37 CFR 1.53(b))		Express Ma	il Label No.	EV03	6410740US	P. I		
APPLICATION ELEMENTS					Assistant Commissioner for Patents Box Patent Application	.23		
SEE MPEP chapter 600 concerning utility patent application contents.			ADDRESS TO: Box Patent Application Washington, DC 20231					
1.	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)			7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)				
2. Applicant claims small entity status. See 37 CFR 1.27.			8. Nucleotide and/or Amino Acid Sequence Submission  fif applicable, all necessary)  a. Computer Readable Form (CRF)					
з. 🛛	Specification [Total Pages: 39]	b. Specification Sequence Listing on:						
	-Descriptive title of the invention		i	. □ co-ı	ROM or CD-R (2 copies); or			
	-Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D			ii 🗖 paper				
	-Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention	_	c. Statements verifying identity of above copies					
	-Brief Summary of the Invention	ACCOMPANYING APPLICATION PARTS						
	-Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure		Assignment Papers (cover sheet & documents(s))					
4.	Drawing(s) (35 U.S.C. 113) [Total Pages:]		(wh	en there i	(b) Statement Power of Attor is an assignee)	mey		
5. Oath or Declaration [Total Pages:]  a Newly executed (original or copy)  b Copy from a prior application (37 CFR 1.63 (d))  (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s)  Named in the prior application, see 37 CFR			1 1		station Document ( <i>if applicable</i> ) station Document ( <i>if applicable</i> ) Copies of IDS	Citations		
					OS)/PTO-1449	Ollations		
			K-71	•	mendment			
			14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
			15. Certified Copy of Priority Document(s)  (if foreign priority is claimed)					
	1.63(d)(2) and 1.33(b)			• .	on Request under 35 U.S.C. 122			
6. 🛛	Application Data Sheet. See 37 CFR 1.76		(b)(2		applicant must attach form PTO/SB/3	5		
10 If a C	18. If a CONTINUING APPLICATION, check appropriate how and surply the continuing significant s							
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,								

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation of prior application No.									
Continuation Divisional Continuation-in-part (CIP) of prior application No	ation-in-part (CIP) of prior application No.:								
Prior application information: Examiner: Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS									
Customer Number or Bar Code Label	or Correspondence address below								
(Insert Customer No. or Attach bar code label	or Some Sportderice address below								
here)									
Name Bret E. Field									
BOZICEVIC, FIELD & FRANCIS LLP	D & FRANCIS LLP								
Address 200 Middlefield Road, Suite 200	load, Suite 200								
City Ment Park State California	Zip 94025 Code								
Country U.S.A. Telephone (650) 327-3400	Fax (650) 327-3231								
Name Bret E. Field Begistration No. (Attorney/Agen									
Signature	Date February 27, 2002								